

## IN THE NEWS FEBRUARY 2024



### FINRA Cybersecurity Alert Ransomware

FINRA receives reports from member firms about LockBit-related cyber incidents.

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### 2024 FINRA Cybersecurity Conference Takeaways

Asgard Team Members attended FINRA's Cybersecurity Conference on February 6, 2024.

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### FINRA Podcast

FINRA shares the results of the Crypto Asset Communication targeted exam from November 2022

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### Treasury Proposes New AML Rules for Investment Advisors

FinCEN has announced a new rule proposal that would require IA's and ERA's to establish an AML program and report suspicious activity.

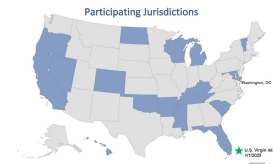
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### 2024 Regulatory Element Topics Published

FINRA and the CE council have published the 2024 [learning topics](#) for each registration category.

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### IAR 2024 Continuing Education

IAR's in adopted States are now subject to new CE requirements. IAR's need to obtain 12 CE credits each year to maintain their IAR registration,

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